HATHAWAY
FINANCIAL GROUP

68 linwood avenue
colchester, ct 06415
[860] 531 9148
www.hathawayfinancialgroup.com

Documents to Expect: A Guide for New Accountholders

After you open your new accounts, you will begin receiving documentation by mail and email. If you have questions or would like to schedule a Communication Review to go over your account documents, please contact us. Items with are available for e-delivery.

Pershing Accounts [Starting with 5MC or 14B]

- A notice from Money Concepts Capital, our broker/dealer, noting high-level personal information for your review.
- Brochure from Dreyfus Insured Deposit Program and/or Deutsche Bank Insured Deposit Program [overview of the money market account within brokerage accounts].
- Monthly Statements.
- Transaction Confirmations.
- Prospectuses from each security in the account once trades are placed.
- Prospectus updates, proxy notices, and other shareholder literature.
- Tax statements if applicable [1099, 5498]

Variable Annuity Contracts

- Quarterly Statements.
- Transaction Confirmations.
- Prospectus updates from the annuity company.

Real Estate Investment Trusts

- Quarterly Statements.
- Transaction Confirmations.
- Prospectus updates from the REIT company.

529 Plans

- Quarterly Statements.
- Transaction Confirmations.
- Program updates from the 529 Plan.